

On the spectral radius of bi-block graphs with given independence number α

Joyentanuj Das

(joint work with Sumit Mohanty)

Indian Institute of Science Education and Research

joyentanuj16@iisertvm.ac.in

5th February 2021

Definitions

- A graph G is an ordered pair, $G = (V, E)$ where $V = \{1, 2, \dots, n\}$ is the set of vertices and $E \subset V \times V$ is the set of edges in G .
- We write $i \sim j$ to indicate that the vertices $i, j \in V$ are adjacent in G and $i \not\sim j$ when they are not adjacent.

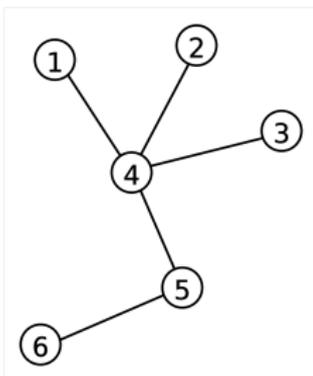


Figure: Graph on 6 vertices

- The degree of the vertex i , denoted by δ_i , equals the number of vertices in V that are adjacent to i .

Connected Graph

In an undirected graph G , two vertices u and v are called connected if G contains a path from u to v . Otherwise, they are called disconnected.

A graph is said to be connected if every pair of vertices in the graph is connected.

Examples: Path, Cycles, Tree, Complete Graphs, Complete Bipartite Graphs.

K_n and $K_{m,n}$

A graph with n vertices is called complete, if each vertex of the graph is adjacent to every other vertex and is denoted by K_n .

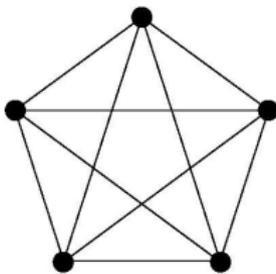


Figure: $G = K_5$

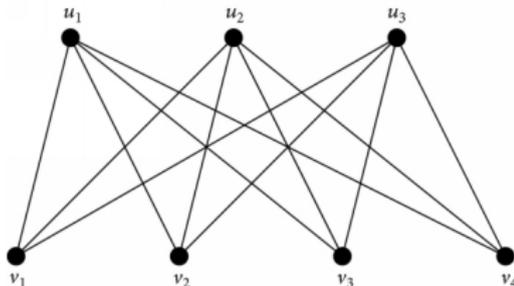


Figure: $G = K_{4,3}$

A graph $G = (V, E)$ said to be bipartite if V can be partitioned into two subsets V_1 and V_2 such that $E \subset V_1 \times V_2$. A bipartite graph $G = (V, E)$ with the partition V_1 and V_2 is said to be a complete bipartite graph, if every vertex in V_1 is adjacent to every vertex of V_2 .

Independence Number

A set \mathcal{I} of vertices in a graph G is an independent set if no pair of vertices of \mathcal{I} are adjacent. The independence number of G is denoted by $\alpha(G)$, is the cardinality of the largest independent set in G .

An independent set of cardinality $\alpha(G)$ is called an $\alpha(G)$ -set.

Adjacency Matrix

The adjacency matrix of G is the $n \times n$ matrix, denoted as $A(G) = [a_{ij}]$, where

$$a_{ij} = \begin{cases} 1 & \text{if } i \neq j, i \sim j \text{ and} \\ 0 & \text{otherwise.} \end{cases}$$

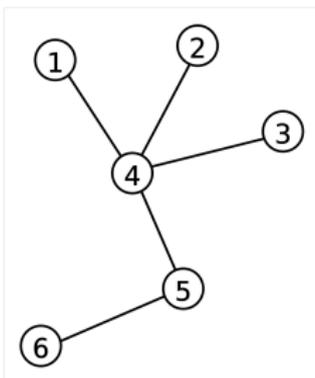


Figure: G

$$A(G) = \begin{pmatrix} 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 1 & 1 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 & 0 \end{pmatrix}$$

Cut Vertex and Blocks

A vertex v of a connected graph G is a *cut vertex* of G if $G - v$ is disconnected. A block of the graph G is a maximal connected subgraph of G that has no cut-vertex.

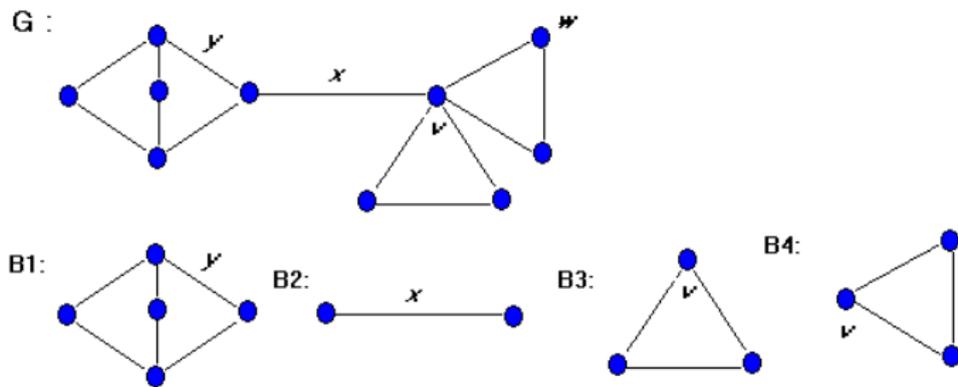


Figure: Graph with cut-vertex.

- A block is said to be a leaf block if its deletion does not disconnect the graph.
- Given two blocks F and H of graph G are said to be neighbours if they are connected via a cut-vertex. We write $F \odot H$ to represent the induced subgraph on the vertex set of two neighbouring blocks F and H .

Block and Bi-block Graphs

A graph is said to be *block graph* if each of its blocks are complete graphs.

A graph is said to be *bi-block graph* if each of its blocks are complete bipartite graphs.

For $v \in V$, the block index of v is denoted by $bi_G(v)$, equals the number of blocks in G that contain the vertex v . Here we consider the star $K_{1,n}$ as a complete bipartite graph instead of a bi-block graph.

- For any column vector X of order $|V|$, if x_u represent the entry of X corresponding to the vertex $u \in V$, then $X^t A(G) X = 2 \sum_{u \sim w} x_u x_w$.
- For a connected graph G on $k \geq 2$ vertices, by Perron-Frobenius theorem, the spectral radius $\rho(G)$ of $A(G)$ is a simple positive eigenvalue and the associated eigenvector is entry-wise positive. We will refer to such an eigenvector as the Perron vector of G .
- By Min-max theorem, we have

$$\rho(G) = \max_{X \neq 0} \frac{X^t A(G) X}{X^t X} = \max_{X \neq 0} \frac{2 \sum_{u \sim w} x_u x_w}{\sum_{u \in V} x_u^2}.$$

- For a graph G if $\Delta(G)$ and $\delta(G)$ denote the maximum and the minimum of the vertex degrees of G , respectively, then

$$\delta(G) \leq \rho(G) \leq \Delta(G).$$

If G is a connected graph such that for $x, y \in V(G)$, $xy \notin E(G)$, then

$$\rho(G) < \rho(G + xy).$$



Motivation

- If G is a bipartite graph with vertex partition M and N , then

$$\alpha(G) = \max\{|M|, |N|\}.$$

- Since every bi-block graph is a bipartite graph, so given a bi-block graph G on k vertices, the independence number $\alpha(G)$, satisfies

$$\left\lceil \frac{k}{2} \right\rceil \leq \alpha(G) \leq k - 1.$$

- Let G be a bi-block graph. Let H be any leaf block connected to the graph G at a cut-vertex $v \in V(G)$ and $G - H$ be the graph obtained from G by removing $H - v$. Given an $\alpha(G)$ -set \mathcal{I} , we denote

$$\mathcal{I}|_{G-H} = \{u \in \mathcal{I} \mid u \in V(G - H)\}.$$

- We will denote the class of bi-block graphs on k vertices with a given independence number α by $\mathcal{B}(k, \alpha)$.

Observations

Let $G = (V, E)$ be a bi-block graph consisting of two blocks F and H connected by cut-vertex v , i.e., $G = F \odot H$. Let $F = K(P, Q)$ with $|P| = p$, $|Q| = q$ and $H = K(M, N)$ with $|M| = m$, $|N| = n$ such that $Q \cap M = \{v\}$.

Let A be the adjacency matrix of G and (ρ, X) be the eigen-pair corresponding to the spectral radius of A . Let x_u denote the entry of X corresponding to the vertex $u \in V$. Let $q, m \geq 2$. Using $AX = \rho X$, we have $\rho x_u = \sum_{w \sim u} x_w = \sum_{w \in M} x_w$ for all $u \in N$. Thus x_u is a constant, whenever $u \in N$ and we denote it by a_n . Using similar arguments, let us denote

$$x_u = \begin{cases} a_n & \text{if } u \in N, \\ a_m & \text{if } u \in M, u \neq v, \\ a_p & \text{if } u \in P, \\ a_q & \text{if } u \in Q, u \neq v. \end{cases} \quad (1)$$

Adjacency Relations

Now using $AX = \rho X$, we have the following identities:

$$(I1) \quad (q - 1)a_q + x_v = \rho a_p.$$

$$(I2) \quad \rho a_p = \rho a_q.$$

$$(I3) \quad \rho a_p + na_n = \rho x_v.$$

$$(I4) \quad na_n = \rho a_m.$$

$$(I5) \quad x_v + (m - 1)a_m = \rho a_n.$$

Using the identities (I2),(I3) and (I4), we have $x_v = a_q + a_m$. Substituting $x_v = a_q + a_m$ in (I1) and (I5), we have

$$(I1^*) \quad qa_q + a_m = \rho a_p,$$

$$(I5^*) \quad a_q + ma_m = \rho a_n.$$

Without loss of generality if we assume that $a_p = 1$, then

$$(16) \quad a_q = \frac{p}{\rho}, \quad a_m = \frac{\rho^2 - pq}{\rho} \quad \text{and} \quad a_n = \frac{\rho^2 - pq}{n}.$$

Similarly, if we assume that $a_n = 1$, then

$$(17) \quad a_m = \frac{n}{\rho}, \quad a_q = \frac{\rho^2 - mn}{\rho} \quad \text{and} \quad a_p = \frac{\rho^2 - mn}{p}.$$

Moreover, since the ratio $\frac{a_p}{a_n}$ is constant for the Perron vector X , so using (16) and (17), we have

$$(18) \quad pn = (\rho^2 - pq)(\rho^2 - mn).$$

If $m = 1$ and $q > 1$, then by choosing $a_m = x_v - a_q$, all the above identities are true. Similarly, for $q = 1$ and $m > 1$, we choose $a_q = x_v - a_m$.

Bi-block with 2 blocks

Let $G \in \mathcal{B}(k, \alpha)$. If G consists of two blocks, then $\rho(G) < \rho(K_{\alpha, k-\alpha})$.

Proof: Let G be a bi-block graph consists of two blocks F and H connected by the cut-vertex v . Let $F = K(P, Q)$, where $|P| = p$, $|Q| = q$ and $H = K(M, N)$, where $|M| = m$, $|N| = n$ such that $Q \cap M = \{v\}$. Then $k = p + q + m + n - 1$.

If $m = 1$ and $q = 1$, then $k = p + n + 1$ and $G = K_{1, p+n}$ with independence number $\alpha(G) = p + n$. Thus, for $\alpha = p + n$ the class $\mathcal{B}(k, \alpha)$ consists of only the star $G = K_{1, p+n}$ and hence result is vacuously true. We complete the proof by considering the following cases.

Case 1: If $p \geq q$ and $n \geq m$, then $\mathcal{I} = P \cup N$ is the $\alpha(G)$ -set. We consider the complete bipartite graph $G^* = K(\tilde{P}, \tilde{Q})$, where $\tilde{P} = P \cup N$ and $\tilde{Q} = Q \cup M$. Thus $\alpha(G) = \alpha(G^*) = p + n$. Since G^* is obtained from G by adding extra edges, so we have $\rho(G) < \rho(G^*)$.

Case 2: If $q > p$ and $m \geq n$, then $\mathcal{I} = Q \cup M$ is an $\alpha(G)$ -set. We consider the complete bipartite graph $G^* = K(\tilde{P}, \tilde{Q})$, where $\tilde{P} = P \cup N$ and $\tilde{Q} = Q \cup M$. Thus $\alpha(G) = \alpha(G^*) = q + m - 1$. Since G^* is obtained from G by adding extra edges, so we have $\rho(G) < \rho(G^*)$.

Case 3: If $q > p$ and $n > m$, then $\mathcal{I} = (Q \setminus \{v\}) \cup N$ is an $\alpha(G)$ -set and hence $\alpha(G) = q + n - 1$. Now we subdivide this case as follows:

Subcase 3.1: Let $p = q - 1$. Then $\mathcal{L} = P \cup N$ is an independent set in G and $|\mathcal{L}| = q + n - 1$. This implies that \mathcal{L} is also an $\alpha(G)$ -set. We consider the complete bipartite graph $G^* = K(\tilde{P}, \tilde{Q})$, where $\tilde{P} = P \cup N$ and $\tilde{Q} = Q \cup M$. Thus, $\alpha(G) = \alpha(G^*) = q + n - 1$. Since G^* is obtained from G by adding extra edges, so we have $\rho(G) < \rho(G^*)$.

Subcase 3.2: Let $p < q - 1$. In view of the $\alpha(G)$ -set $\mathcal{I} = (Q \setminus \{v\}) \cup N$, we consider the complete bipartite graph $G^* = K(\tilde{P}, \tilde{Q})$, where $\tilde{P} = P \cup M$ and $\tilde{Q} = (Q \setminus \{v\}) \cup N$. So $\alpha(G) = \alpha(G^*) = q + n - 1$. Observe that, we can obtain the graph G^* from G using the following operations:

1. Delete the edges between vertex v and the vertices of P .
2. Add edges between vertices of M and $Q \setminus \{v\}$.
3. Add edges between vertices of P and N .

Let A be the adjacency matrix of G and (ρ, X) be the eigen-pair corresponding to the spectral radius of A . Let A^* be the adjacency matrix of G^* .

$$\begin{aligned}
 \frac{1}{2}X^t(A^* - A)X &= -x_v \sum_{w \in P} x_w + \sum_{\substack{u \sim w \\ u \in M, w \in Q \setminus \{v\}}} x_u x_w + \sum_{\substack{u \sim w \\ u \in P, w \in N}} x_u x_w \\
 &= -\rho a_p(a_q + a_m) + (q-1)a_q(a_q + ma_m) + \rho n a_p a_n \\
 &= -\rho a_p(a_q + a_m) + (q-1)\rho a_q a_n + \rho n a_p a_n \\
 &= -\rho a_p(a_q + a_m) + (q-1)\rho a_p a_n + \rho n a_p a_n \\
 &= \rho [(q-1)a_n + \rho a_m - (a_q + a_m)] \\
 &= \frac{\rho}{\rho n} [\rho(q-1)(\rho^2 - \rho q) + \rho n(\rho^2 - \rho q) - n(\rho + \rho^2 - \rho q)] \\
 &= \frac{\rho}{\rho n} [\rho(q-1)(\rho^2 - \rho q) + \rho n(\rho^2 - \rho q) - n(\rho^2 - \rho q) - (\rho^2 - \rho q)(\rho^2 - mn)] \\
 &= \frac{\rho(\rho^2 - \rho q)}{\rho n} [\rho(q-1) + \rho n - n - (\rho^2 - mn)] \\
 &= \frac{\rho(\rho^2 - \rho q)}{\rho n} [\rho(q+n-1) - \rho^2 + n(m-1)].
 \end{aligned}$$

We have $\rho \leq \max\{p + n, q\}$. And using the assumption $p < q - 1$, we always have $q + n - 1 > \rho$.

Case 4: If $p > q$ and $m > n$, then $\mathcal{I} = P \cup (M \setminus \{v\})$ is an $\alpha(G)$ -set and $\alpha(G) = p + m - 1$. This case is analogous to Case 3 and hence proceeding similarly, we have $\rho(G) < \rho(G^*)$.

Bi-block with $bi_G(u) = 2$ and b blocks

Let $G \in \mathcal{B}(k, \alpha)$. If $bi_G(u) = 2$ for all cut-vertex u in G , then $\rho(G) \leq \rho(K_{\alpha, k-\alpha})$ and equality holds if and only if $G = K_{\alpha, k-\alpha}$.

Proof:(Induction) Let $H = K(M, N)$ with $|M| = m$ and $|N| = n$ be a leaf block connected to the graph G at a cut-vertex v . Since $bi_G(v) = 2$, so there exists a unique block $F = K(P, Q)$ with $|P| = p$ and $|Q| = q$ which is a neighbour of H connected via the cut-vertex v . Without loss of generality, we assume that $M \cap Q = \{v\}$. Let \mathcal{I} be an $\alpha(G)$ -set of G , i.e., $|\mathcal{I}| = \alpha$.

Case 1: $\mathcal{I} \cap P = \emptyset$ and $\mathcal{I} \cap Q = \emptyset$. In this case, either $M \setminus \{v\} \subset \mathcal{I}$ or $N \subset \mathcal{I}$. We consider the complete bipartite graph $K(\tilde{P}, \tilde{Q})$, where $\tilde{P} = P \cup N$ and $\tilde{Q} = Q \cup M$. Let G^* be the graph obtained from G by replacing the induced subgraph $F \odot H$ with $K(\tilde{P}, \tilde{Q})$. Then, the resulting graph G^* consists of $b - 1$ blocks and \mathcal{I} is an $\alpha(G^*)$ -set, i.e., $G^* \in \mathcal{B}(k, \alpha)$.

Case 2: $\mathcal{I} \cap P = \emptyset$ and $\mathcal{I} \cap Q \neq \emptyset$. For $m \geq n$, we can assume $M \subset \mathcal{I}$. We consider graph G^* which is obtained from G by replacing the induced subgraph $F \odot H$ with $K(\tilde{P}, \tilde{Q})$, where $\tilde{P} = P \cup N$ and $\tilde{Q} = Q \cup M$, which implies that \mathcal{I} is an $\alpha(G^*)$ -set.

Case 3: $\mathcal{I} \cap P = \emptyset$ and $\mathcal{I} \cap Q \neq \emptyset$. For $n > m$, if $v \in \mathcal{I}$, then $\mathcal{L} = (\mathcal{I}|_{G-H} \setminus \{v\}) \cup N$ is an independent set of G and $|\mathcal{L}| > |\mathcal{I}|$, which leads to a contradiction. Thus $v \notin \mathcal{I}$ and we have the following:

$$\begin{cases} v \notin \mathcal{I} \text{ and } \mathcal{I} = \mathcal{I}|_{G-H} \cup N, \\ \alpha(G) = |\mathcal{I}|_{G-H} + n. \end{cases} \quad (2)$$

Subcase 1: Suppose that all the vertices of Q are cut-vertices. Let $u \in Q \setminus \{v\}$ be a cut-vertex and $u \in \mathcal{I}$. Since $bi_G(u) = 2$, so let $B = K(R, S)$ be the neighbour of the block F via the cut-vertex u , where $R \cap Q = \{u\}$. Thus, $u \in \mathcal{I}$ and $u \in R$ implies that $\mathcal{I} \cap S = \emptyset$. Consider the bi-block graph G^* obtained from G by replacing the induced subgraph $F \odot B$ with the complete bipartite graph $K(\tilde{P}, \tilde{Q})$, where $\tilde{P} = P \cup S$ and $\tilde{Q} = Q \cup R$. It is easy to see that \mathcal{I} is an $\alpha(G^*)$ -set and $G^* \in \mathcal{B}(k, \alpha)$ consists of $b - 1$ blocks.

Subcase 2: Let $c \in Q$ and c is not a cut-vertex. Since $\mathcal{I} \cap P = \emptyset$, so $c \in \mathcal{I}$. Let A be the adjacency matrix of G and (ρ, X) be the eigen-pair corresponding to the spectral radius of A . Let x_u denote the entry of X corresponding to the vertex $u \in V$. Using $AX = \rho X$ we find a few identities as follows. For $m \geq 2$, let us denote

$$x_u = \begin{cases} b_n & \text{if } u \in N, \\ b_m & \text{if } u \in M, u \neq v. \end{cases} \quad (3)$$

Using $c \in Q$, c is not a cut-vertex and $AX = \rho X$, we have the following identities:

$$(J1) \quad \rho x_c = \sum_{w \in P} x_w.$$

$$(J2) \quad \rho x_v = \sum_{w \in P} x_w + nb_n.$$

$$(J3) \quad \rho b_n = (m-1)b_m + x_v.$$

$$(J4) \quad \rho b_m = nb_n.$$

Using identities (J1), (J2) and (J4), we have $x_v = x_c + b_m$. Thus the identity (J3) reduces to:

$$(J3^*) \quad \rho b_n = mb_m + x_c.$$

Next, if $m = 1$, then by choosing $b_m = x_v - x_c$, all the above identities are true.

Subcase 2.1: Whenever $b_m \geq b_n$.

Let G^* be a bi-block graph obtained from G by replacing the induced subgraph $F \odot H$ with the complete bipartite graph $K(\tilde{P}, \tilde{Q})$, where $\tilde{P} = P \cup M$ and $\tilde{Q} = (Q \setminus \{v\}) \cup N$. Thus, \mathcal{I} is an $\alpha(G^*)$ -set and $G^* \in \mathcal{B}(k, \alpha)$ consists of $b - 1$ blocks. Note that, we can obtain the graph G^* from G using the following operations:

1. Delete the edges between vertex v and the vertices of P .
2. Add edges between vertices of M and $Q \setminus \{v\}$.
3. Add edges between vertices of P and N .

Let A^* be the adjacency matrix of G^* . Using the above identities, we have

$$\begin{aligned}
 \frac{1}{2} X^t(A^* - A)X &= -x_v \sum_{w \in P} x_w + \sum_{u \in M, w \in Q \setminus \{v\}} x_u x_w + \sum_{\substack{u \sim w \\ u \in P, w \in N}} x_u x_w \\
 &= -(x_c + b_m) \sum_{w \in P} x_w + (mb_m + x_c) \sum_{w \in Q \setminus \{v\}} x_w + nb_n \sum_{w \in P} x_w && \text{[By Eq.(3)]} \\
 &= -(x_c + b_m)\rho x_c + (mb_m + x_c) \sum_{w \in Q \setminus \{v\}} x_w + nb_n \rho x_c && \text{[Using (J1)]} \\
 &= -(x_c + b_m)\rho x_c + (mb_m + x_c) \sum_{w \in Q \setminus \{v\}} x_w + \rho^2 b_m x_c && \text{[Using (J4)]} \\
 &\geq -(x_c + mb_m)\rho x_c + (mb_m + x_c) \sum_{w \in Q \setminus \{v\}} x_w + \rho^2 b_m x_c \\
 &= -\rho^2 b_n x_c + (mb_m + x_c) \sum_{w \in Q \setminus \{v\}} x_w + \rho^2 b_m x_c && \text{[Using (J3*)]} \\
 &= \rho^2 (b_m - b_n) x_c + (mb_m + x_c) \sum_{w \in Q \setminus \{v\}} x_w.
 \end{aligned}$$

Since $b_m \geq b_n$, and X is the Perron vector of G , so $X^t(A^* - A)X \geq 0$. Thus, by Min-max theorem, we have $\rho(G) \leq \rho(G^*)$ and hence the induction hypothesis yields the result.

Subcase 2.2: Whenever $b_m < b_n$.

For this case we partition the set $N \subset \mathcal{I}$ as $N = N_1 \cup N_2$ and $N_1 \cap N_2 = \emptyset$ such that $|N_1| = m$ and $|N_2| = n - m$. We consider the complete bipartite graph $K(\tilde{P}, \tilde{Q})$, where $\tilde{P} = P \cup N_1$ and $\tilde{Q} = Q \cup M \cup N_2$. Let G^* be a bi-block graph obtained from G by replacing the induced subgraph $F \odot H$ with $K(\tilde{P}, \tilde{Q})$. Thus, by Eq. (2), we obtain that $\mathcal{I}^* = \mathcal{I}|_{G-H} \cup M \cup N_2$ is an $\alpha(G^*)$ -set and $\alpha(G^*) = \alpha(G) = |\mathcal{I}|_{G-H}| + n$, which implies that $G^* \in \mathcal{B}(k, \alpha)$ consists of $b - 1$ blocks. Note that, we can obtain the graph G^* from G using the following operations:

1. Delete the edges between vertices of M and N_2 .
2. Add edges between vertices of N_1 and $Q \setminus \{v\}$.
3. Add edges between vertices of P and N_2 .
4. Add edges between vertices of N_1 and N_2 .
5. Add edges between vertices of $M \setminus \{v\}$ and P .

Let A^* be the adjacency matrix of G^* . Then,

$$\begin{aligned}
 \frac{1}{2}X^t(A^* - A)X &= - \sum_{\substack{u \sim w \\ u \in M, w \in N_2}} x_u x_w + \sum_{\substack{u \sim w \\ u \in N_1, w \in Q \setminus \{v\}}} x_u x_w + \sum_{\substack{u \sim w \\ u \in N_2, w \in P}} x_u x_w \\
 &\quad + \sum_{\substack{u \sim w \\ u \in N_1, w \in N_2}} x_u x_w + \sum_{\substack{u \sim w \\ u \in M \setminus \{v\}, w \in P}} x_u x_w \\
 &= -(n-m)(mb_m + x_c)b_n + mb_n \sum_{w \in Q \setminus \{v\}} x_w + (n-m)b_n \sum_{w \in P} x_w \\
 &\quad + (n-m)mb_n^2 + b_m(m-1) \sum_{w \in P} x_w && \text{[By Eq.(3)]} \\
 &= -(n-m)mb_m b_n - (n-m)x_c b_n + mb_n \sum_{w \in Q \setminus \{v\}} x_w + \rho(n-m)b_n x_c \\
 &\quad + (n-m)mb_n^2 + \rho(m-1)b_m x_c && \text{[Using (J1)]} \\
 &= (n-m)[mb_n(b_n - b_m) + (\rho - 1)x_c b_n] + mb_n \sum_{w \in Q \setminus \{v\}} x_w + \rho(m-1)b_m x_c.
 \end{aligned}$$

Since $b_m < b_n$ and $\rho \geq 1$ we are done.

Case 4: $\mathcal{I} \cap P \neq \emptyset$ and $\mathcal{I} \cap Q = \emptyset$. For $n \geq m$ or $m = n + 1$, we have $N \subset \mathcal{I}$. We consider graph G^* obtained from G by replacing the induced subgraph $F \odot H$ with $K(\tilde{P}, \tilde{Q})$, where $\tilde{P} = P \cup N$ and $\tilde{Q} = Q \cup M$, which implies that \mathcal{I} is an $\alpha(G^*)$ -set. Thus, arguments similar to the Case 1 yields the result.

Case 5: $\mathcal{I} \cap P \neq \emptyset$ and $\mathcal{I} \cap Q = \emptyset$. For $m > n + 1$, we have $(M \setminus \{v\}) \subset \mathcal{I}$. We consider all neighbouring blocks of $F = K(P, Q)$, say $B_i = K(R_i, S_i)$ for $1 \leq i \leq j$, connected via cut-vertices to the vertex partition P . Without loss of generality, we assume $S_i \cap P \neq \emptyset$. For any one of the such neighbour, if $\mathcal{I} \cap R_i = \emptyset$, then we consider the graph G^* which is obtained from G by replacing the induced subgraph $F \odot B_i$ with $K(\tilde{P}, \tilde{Q})$, where $\tilde{P} = P \cup S_i$ and $\tilde{Q} = Q \cup R_i$. Since $\mathcal{I} \cap P \neq \emptyset$, so \mathcal{I} is an $\alpha(G^*)$ -set and argument similar to the Case 1 leads to the desired result. If no such neighbours exists, then proceeding inductively we need to look for B_i 's neighbours with similar properties. Since G is a finite graph, either we will reach a neighbour with suitable properties or reach a leaf block does not satisfies requisite properties.

For the later case, we find a finite chain of blocks $C_i = K(M_i, N_i)$ for $1 \leq i \leq t$ satisfying the following:

1. $C_1 = H$ and C_t are leaf blocks.
2. For $i = 1, 2, \dots, t-1$, the blocks C_i and C_{i+1} are neighbours such that $M_i \cap N_{i+1} \neq \emptyset$.
3. $\mathcal{I} \cap N_i = \emptyset$ for all $i = 1, 2, \dots, t$.

Since C_t is a leaf block and is connected to C_{t-1} via a cut-vertex u (say) with $bi_G(u) = 2$, so it can be seen $\mathcal{I} \cap N_{t-1} = \emptyset$ and $\mathcal{I} \cap N_t = \emptyset$ implies that $|M_t| > |N_t|$. Now, if we begin with the leaf block C_t , then this case is analogous to the Case 3. Hence the desired result follows.

Lemma

If $G \in \mathcal{B}(k, \alpha)$, then there exists a bi-block graph $G^* \in \mathcal{B}(k, \alpha)$ with $bi_{G^*}(u) = 2$ for all cut-vertex u in G^* such that $\rho(G) \leq \rho(G^*)$.

Proof of the Lemma

Proof: Let v be a cut-vertex of G with $bi_G(v) = t$, where $t \geq 3$. Let $B_i = K(M_i, N_i)$; $i = 1, 2, 3$ be any three neighbours connected via the cut-vertex v such that $v \in N_1 \cap N_2 \cap N_3$. Let \mathcal{I} be an $\alpha(G)$ -set. If $V(B_i) \cap \mathcal{I} \neq \emptyset$ for all $i = 1, 2, 3$, then either $M_i \cap \mathcal{I} \neq \emptyset$ or $N_i \cap \mathcal{I} \neq \emptyset$. Thus by pigeonhole principle, there exist $i, j \in \{1, 2, 3\}$ such that either $\mathcal{I} \cap N_i = \emptyset$ and $\mathcal{I} \cap N_j = \emptyset$ or $\mathcal{I} \cap M_i = \emptyset$ and $\mathcal{I} \cap M_j = \emptyset$. Let us consider a bi-block graph G^* obtained from G by replacing the induced subgraph $B_i \odot B_j$ with $K(\tilde{M}, \tilde{N})$, where $\tilde{M} = M_i \cup M_j$ and $\tilde{N} = N_i \cup N_j$. It is easy to see that, \mathcal{I} is an $\alpha(G^*)$ -set and $bi_{G^*}(v) = t - 1$ and we have $\rho(G) \leq \rho(G^*)$. Hence proceeding inductively the result follows. If $V(B_{i_0}) \cap \mathcal{I} = \emptyset$ (i.e. $M_{i_0} \cap \mathcal{I} = \emptyset$ and $N_{i_0} \cap \mathcal{I} = \emptyset$) for some $i_0 \in \{1, 2, 3\}$, then for $j \neq i_0$ and choosing $K(\tilde{M}, \tilde{N})$, where $\tilde{M} = M_{i_0} \cup M_j$ and $\tilde{N} = N_{i_0} \cup N_j$, similar argument yields the desired result.

Main Result

Theorem

If $G \in \mathcal{B}(k, \alpha)$, then $\rho(G) \leq \rho(K_{\alpha, k-\alpha})$ and equality holds if and only if $G = K_{\alpha, k-\alpha}$.

References



Bapat R B, Graphs and matrices. Second Edition, Hindustan Book Agency, New Delhi, (2014).



Conde C M, Dratman E and Grippo L N, On the spectral radius of block graphs with prescribed independence number α . Linear Algebra Appl., (2020).



Das J. and Mohanty S., On the spectral radius of bi-block graphs with given independence number α , (*to appear in Applied Mathematics and Computation* : <https://arxiv.org/abs/2004.04488>).



Feng L and Song J, Spectral radius of unicyclic graphs with given independence number, Util. Math., **84** (2011), 33-43.



Guo J M and Shao J Y, On the spectral radius of trees with fixed diameter, Linear Algebra Appl., **413** (2006), 131-147.



Ji C and Lu M, On the spectral radius of trees with given independence number, Linear Algebra Appl., **488** (2016), 102-108.

The End

Thank you.